# NT COOPERATION TREATY

# **PCT**

### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or a	agent's file reference	FOR FURTHER	see Notification of	of Transmittal of International Course De-
P201-0084		ACTION (Form PCT/ISA/220) as well as, where applicable, item 5 below.		
International ap	oplication No.	International filing date (da	y/month/year)	(Earliest) Priority Date (day/month/year)
PCT/JP 01	/ 03398	20/04/20	01	28/04/2000
Applicant				25/01/2000
MAZDA MOT	OR CORPORATION et	tal.		
This Internation according to A	onal Search Report has been article 18. A copy is being trai	prepared by this International nsmitted to the International	al Searching Auth Bureau.	ority and is transmitted to the applicant
This Internatio	nal Search Report consists on It is also accompanied by a	of a total of3 a copy of each prior art docur	sheets. ment cited in this i	report.
1. Basis of t	he report		<del></del>	
a. With re langua		the modern dide	uns item.	is of the international application in the
				e international application furnished to this
<ul> <li>b. With re was ca</li> </ul>	egard to any nucleotide and arried out on the basis of the	or amino acid sequence di	sclosed in the inte	ernational application, the international search
		al application in written form.		
		national application in compu		_
		his Authority in written form.		
		his Authority in computer rea-	dble form.	
	the statement that the substinternational application as	equently furnished written so	quence listing doe	es not go beyond the disclosure in the
				identical to the written sequence listing has been
2.	Certain claims were found	l unsearchable (See Box I).		
3.	Unity of invention is lacking	ng (see Box II).		
With regard	to the title,			
X	the text is approved as subm	nitted by the applicant.		•
	the text has been establishe	d by this Authority to read as	follows:	
5. With regard	to the abstract,			
X	the text is approved as subm	itted by the applicant.		
	the text has been actablished	1 according to Dut- on our	by this Authority a	as it appears in Box III. The applicant may, t, submit comments to this Authority.
		ed with the abstract is Figure	No.	1
6. The figure of		ed with the abstract is Figure	No.	1
6. The figure of	f the drawings to be published	ed with the abstract is Figure at.	No.	None of the figures.

#### INTERNATIONAL SEARCH REPORT



# A. CLASSIFICATION OF SUBJECT MATTER IPC 7 B23K20/12

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC 7 B23K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

C. DOCUMENTS CONSIDERED	D TO BE REL	EVANT
-------------------------	-------------	-------

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
x	GB 1 385 473 A (LUC PENELOPE JANE VESEY)	
	26 February 1975 (1975-02-26)	1,2,4,6,
Y	page 1, line 10 - line 13	7,10
- 1	page 1, line 32 - line 51	5,8
1	page 1, line 91 -page 2, line 4	
1	page 5, line 55 - line 82	
	figures 1.2	İ
X [	US 4 144 110 A (LUC JANE)	1 2 10
	13 March 1979 (1979-03-13)	1,2,10
Υ	column 1, line 6 - line 19	5,8
- 1	column 13, line 47 - line 55	3,6
	column 14, line 11 - line 39	
- 1	column 15, line 67 -column 16, line 22	·
		1
	-/	
- 1		1
- 1		
1		l

ı	X	Further documents are listed in the	continuation of box (	

X Patent family members are tisted in annex.

- "A" document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filling date
- 'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document reterring to an oral disclosure, use, exhibition or other means 'P' document published prior to the international filing date but later than the priority date claimed

'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invasion.

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled to the act.

'&' document member of the same patent family

Date of mailing of the international search report

Date of the actual completion of the international search

19 June 2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

25/06/2001 Authorized officer

Haegeman, M

Form PCT/ISA/210 (second sheet) (July 1992)

Special categories of cited documents :

From the INTERNATIONAL SEARCHING AUTHORITY	DOT			
To: OHTSUKA, Yasunori 7th FL. shuwa kioicho park bldg.3-6 kioicho CHIYODA-KU , TOKYO 102-0094 JAPAN	NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION  LECEIVED  J.N. 2 6. 2001 (PCT Rule 44.1)  CHTSUKA PAT  Date of mailing (day/month/year)			
Applicant's or agent's file reference	25/06/2001			
P201-0084PCT	FOR FURTHER ACTION See paragraphs 1 and 4 below			
International application No. PCT/JP 01/03398 Applicant	International filing date (day/month/year) 20/04/2001			
MAZDA MOTOR CORPORATION et al.	,			
The applicant is hereby notified that the International Search  Filling of amendments and electronic search	Report has been established and is transmitted to the			
Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the claim	s of the International Application (see Pulls 48).			
When? The time limit for filing such amendments is normal International Search Report; however, for more det	•			
Where? Directly to the International Bureau of WIPO 34, chemin des Cotombettes 1211 Geneva 20, Switzerland Fascimile No.: (41–22) 740,14,35				
For more detailed instructions, see the notes on the accom-	Danving sheet			
The applicant is hereby notified that no International Search Article 17(2)(a) to that effect is transmitted herewith.				
3. With regard to the protest against payment of (an) additional	al (ee(s) under Rule 40.2, the postioon is publicable			
the protest together with the decision thereon has been applicant's request to forward the texts of both the protest.				
no decision has been made yet on the protest; the applic	ant will be notified as soon as a decision is made.			
Further action(s): The applicant is reminded of the following:				
Shortly after 18 months from the priority date, the international applif the applicant wishes to avoid or postpone publication, a notice o priority claim, must reach the International Bureau as provided in completion of the technical preparations for international publication	Rules 90bis.1 and 90bis.3, respectively, before the			
Within 19 months from the priority date, a demand for international wishes to postpone the entry into the national phase until 30 months.	preliminary examination must be filed if the applicant			
wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).  Within 20 months from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.				
lame and mailing address of the International Searching Authority A	uthorized officer			
European Patent Office, P.B. 5818 Patentlaan 2	Donna-Marie Burns			



These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooppration Treatly, the Regulations and the Administrative Instructions under that Treatly, in case of discrepancy between these Notes and the Notement, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

# INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international politication. Furthermore, it should be emphasized that provisional protection is available in some States only.

#### What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Pretermany Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

When?

Within 2 months from the date of transmittal of the international search report of 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received not time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international burbaiching.

#### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been is filed, see below.

How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

#### What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the tanguage of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

Notes to Form PCT/ISA/220 (first sheet) (January 1994)



The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled:
- (iii) the claim is new:
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- Where originally there were 48 claims and after amendment of some claims there are 51]:
   "Claims 1 to 25, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11]: "Claims 1 to 15 replaced by amended claims 1 to t1."
- [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims!
   "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added," or "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- Mhere various kinds of amendments are made):
   \*Claims 1-10 unchanged, claims 11 to 13, 16 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added.\*

#### "Statement under article 19(1)" (Rute 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

it must be in the language in which the international appplication is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims are filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words. Statement under Article 19(1).

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

### Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted. the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the international Pretiminary Examining Authority (see Rule 6.22(e), first sentence).

### Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be turnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.

Notes to Form PCT/ISA/220 (second sheet) (January 1994)

#### INTERNATIONAL SEARCH REPORT

Inform on patent family members

PC 01/03398

			10	01/03396
Patent document cited in search report		Publication date	Patent family member(s)	Publication date
GB 1385473	Α	26-02-1975	CA 933740 A CH 555723 A DE 2210855 A FR 2128733 A JP 60033587 B NL 7203013 A,B, SE 411857 B US 3831262 A	18-09-1973 15-11-1974 14-09-1972 20-10-1972 03-08-1985 12-09-1972 11-02-1980 27-08-1974
US 4144110	А	13-03-1979	DE 2102020 A FR 2128169 A GB 1080442 A NL 7103140 A AT 304060 B DE 1571045 A FR 1584952 A US 3831262 A CH 460598 A CH 528989 A NO 127353 B SE 338850 B	21-09-1972 20-10-1972 23-08-1967 12-09-1972 15-11-1972 22-10-1970 09-01-1970 27-08-1974 31-07-1968 15-10-1972 12-06-1973 20-09-1971
DE 19746812	Α	29-04-1999	NONE	
DE 19731638	А	28-01-1999	NONE	
EP 0893189	A	27-01-1999	JP 3070735 B JP 11090655 A JP 11314177 A JP 11285863 A JP 11314178 A JP 11320131 A JP 11285864 A JP 11314179 A JP 11314180 A JP 11314169 A JP 11285866 A JP 2000263251 A JP 2000263251 A JP 2000263251 A JP 2000263253 A JP 2000263254 B	31-07-2000 06-04-1999 16-11-1999 19-10-1999 16-11-1999 24-11-1999 19-10-1999 16-11-1999 16-11-1999 19-10-1999 19-10-1999 26-09-2000 26-09-2000 26-09-2000 26-09-2000 18-04-2000 29-05-2001

### INTERNATIONAL SEARCH REPORT

PC 01/03398

	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
ategory *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
х	DE 197 46 812 A (HENTSCHEL HOLGER DİPL ING ;SCHAAF ANDREAS DIPL ING (DE); SUTHOFF B) 29 April 1999 (1999–04–29) the whole document	1,6,10
x	DE 197 31 638 A (SCHAAF ANDREAS) 28 January 1999 (1999-01-28) column 1, line 36 - line 45 column 2, line 26 - line 31 claims; figures	1,9,10
x	EP 0 893 189 A (HITACHI LTD) 27 January 1999 (1999-01-27) figures 8,18 column 10, line 30 - line 46 column 12, line 20 -column 13, line 5	1,9,10

1

0	For receiving Office use only	
0-1	International Application No.	
	memational Application No.	
0-2	International Filing Date	PCI
0-3	Name of receiving Office and *PCT	20.4.01)
	International Application	受領印
0-4	Form - PCT/RO/101 PCT Request	·
0-4-1	Prepared using	Pom Page -
		PCT-EASY Version 2.91
0-5	Petition	(updated 01.01.2001)
	The undersigned requests that the	·
	present international application be	
	processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the	Japanese Patent Office (RO/JP)
0-7	applicant) Applicant's or agent's file reference	
	Title of Invention	P201-0084PCT
11	Applicant	METHOD OF PROCESSING METAL MEMBERS
II-1	This person is:	
11-2	Applicant for	applicant only
11-4	Name	all designated States except US
11-5	Address:	MAZDA MOTOR CORPORATION
	, adiese.	3-1, Shinchi, Fuchu-cho
	ł	Aki-gun, Hiroshima 735-0028
11-6	State of nationality	Japan
11-7	State of residence	JP
1-8	Telephone No.	JP
1-9	Facsimile No.	082-287-4275
II-1		082-287-5119
II-1 II-1-1	Applicant and/or inventor	
II-1-1 II-1-2	This person is:	applicant and inventor
	Applicant for	US only
1-1-4	Name (LAST, First)	GENDOH, Toshiyuki
l-1-5	Address:	C/O MAZDA MOTOR CORPORATION
	/	3-1, Shinchi, Fuchu-cho
		Aki-gun, Hiroshima 735-0028
		Japan
-1-6	State of nationality	JP
-1-7	State of residence	JP

III-2	Applicant and/or Inventor	7 January 10.57:42 AM
III-2-1	This person is:	
III-2-2	Applicant for	applicant and inventor
III-2-4		US only
III-2-5	Name (LAST, First)	NOMURA, Seiji
111-2-5	Address:	c/o MAZDA MOTOR CORPORATION
		3-1, Shinchi, Fuchu-cho
		Aki-gun, Hiroshima 735-0028
		Japan
111-2-6	State of nationality	JP
III-2-7	State of residence	JP ·
IV-1	Agent or common representative; or address for correspondence.  The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent international Authorities as:	agent
IV-1-1	Name (LAST, First)	OHTSUKA, Yasunori
IV-1-2	Address:	7th FL. SHUWA KIOICHO PARK BLDG., 3-6,
		KIOICHO
		CHIYODA-KU, Tokyo 102-0094
	A	Japan
IV-1-3	Telephone No.	03-5276-3241
IV-1-4	Facsimile No.	03-5276-3242
IV-1-5	e-mail :	opt@patest.co.jp
v	Designation of States	z cr ====ctot.jp
- 1	Regional Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	EP: DE ES FR GB IT and any other State which is a Contracting State of the European Patent Convention and of the PCT (except AT BE CH&LI CY DK FI GR IE
V-2	National Patent	LU MC NL PT SE TR)
	(other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	CN KR US
	Precautionary Designation Statement	
a F C C m re a	n addition to the designations made under times V-1, V-2 and V-3, the applicant also makes under Hule 4.9(b) experiment also makes under Hule 4.9(b) experiment of the States) indicated under the PCT except any besignation(s) of the States(s) indicated under item V-5 below. The applicant occlares that those additional except shallow an except the confirmation met that the states of the states	
		NONE

VI-1	Priority claim of earlier national application		3-		
VI-1-1					
VI-1-2	_	28 April 2000 (28.0	28 April 2000 (28.04.2000)		
VI-1-3		Patent Application :	2000-130039		
VI-2	Priority document request	JP			
	The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s):	VI-1			
VII-1	International Searching Authority Chosen	European Patent Offi	ce (EPO) (ISA/EP)		
VIII	Check list	number of sheets			
VIII-1	Request	4	electronic file(s) attached		
VIII-2	Description	32	-		
VIII-3	Claims	3			
VIII-4	Abstract		-		
VIII-5	Drawings	1	EZABST00.TXT		
VIII-7	TOTAL	38	-		
VIII-7		78			
	Accompanying Items	paper document(s) attached	electronic file(s) attached		
VIII-8	Fee calculation sheet		-		
VIII-9	Separate signed power of attorney		-		
VIII-16	PCT-EASY diskette	-	diskette		
VIII-17	Other (specified):	Revenue stamps of	diskette		
	1	transmittal fee for	1		
	1	receiving office			
VIII-17	Other (specified):				
		Submission of	-		
	1	certificate of	1		
		payment for search			
VIII-17	Other (specified);	fee	1		
• •	Cirie (specified):	Submission of	-		
		certificate of			
		payment of			
		international fee			
VIII-18	Figure of the drawings which should accompany the abstract	1			
VIII-19	Language of filing of the International application	English			
X-1	Signature of applicant or agent				
X-1-1	Name (1407 57 a)	WOM2			
^-1-1	Name (LAST, First)	OHTSUKA, Yasunori			

# FOR RECEIVING OFFICE USE ONLY

10-1	Date of actual receipt of the	
	purported international application	

PCT		

P201-0084PCT

		7 FIRST ST. 2007 10.37.42 AM
10-2	Drawings:	
10-2-1	Received	-
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/EP
10-6	Transmittal of search copy delayed until search fee is paid	

# FOR INTERNATIONAL BUREAU USE ONLY

11-1	Date of receipt of the record copy by the international Bureau	

# PCT (ANNEX - FEE CALCULATION SHEET)

P201-0084PCT

Original (for SUBMISSION ) - printed on 20.04.2001 10:57:42 AM

0	For receiving Office use only		nd does not count as a she		uon
0-1	International Application No.				
0-2	Date stamp of the receiving Office	_	<del> </del>		
0-4	Form - PCT/RO/101 (Annex)	_			
0-4-1	PCT Fee Calculation Sheet				
U-4-1	Prepared using		PCT-EASY Ver	sion 2 91	
			(updated 01.	01.2001)	
0-9	Applicant's or agent's file reference	_	P201-0084PCT	J. 2001)	
2	Applicant	_		CORDON	
12	Calculation of prescribed fees	-	fee amount/multiplier	CORPORATION, et	al.
12-1	Transmittal fee	т	ree amount/munipher	total amounts (JPY)	
12-2	Search fee	s	3	18,000	
12-3	International fee	4		103,000	
	Basic fee	- 1	-		
	(first 30 sheets)			1	
12-4	Remaining sheets	b1	46,200		
12-5	Addisort	_	48	7	
12-6	Y-4-1 - 180		1,100	1	
12-0		2	52,800	1	
		В	99,000	1	
12-8	Designation fees	7	,000	1 .	
	Number of designations contained in international application	1	4		
12-9	Number of designation fees payable (maximum 6)	1	4		
2-10	Amount of designation fee (X	٦.	10.000		
2-11	Total designation fees	1	10,000		
2-12	PCT FARVE		40,000		
	Total Internetion 15		-14,000		
- 1	Fee for priority document	1	⇒	125,000	
		1			
- 1	Number of priority documents requested	1			
-15	Fee per document (X)	1,	,400		
-16	Total priority document fee P	╀			
	TOTAL FEES PAYABLE (T+S+I+P)	╀	⇒	1,400	
	Mode of payment	L	⇔	247,400	
- 1		T	ransmittal fee	: revenue stan	ine
		10	earch ree: bar	lk draf+	
	i	Ιı	nternational f	ee: bank drace	
		Pı	riority docume	ent fee: revenu	
				rec. revenu	e stamps

# VALIDATION LOG AND REMARKS

VA	LIDATION LOG AND REMARKS
13-1-1 Applicant remarks Annotate	7642 Patent Attorney OHTSUKA Yasunori

### PCT (ANNEX - FEE CALCULATION SHEET)

P201-0084PCT

Original (for SUBMISSION ) - printed on 20.04.2001 10:57:42 AM Validation messages Green? States More designations could be made. The following States have not been designated: AP:( GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW); EA:( AM, AZ, BY, KG, KZ, MD, RU, TJ, TM); EP:( AT, BE, CH, LI, CY, DK, FI, GR, IE, LU, MC, NL, PT, SE, TR); OA: ( BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG); AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, LI, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW. Please verify. Green? One or more states has been deselected from the EP designation. Please verify. Validation messages Green? Annotate All indications that can be made on the Request form are specifically provided for by the software. Please confirm validity of additional indication. 13-2-1 Validation messages Green? For receiving Office/International Verify electronic data for consistency Bureau use only

against printed form.

# POWER OF ATTORNEY

We, the undersigned, hereby appoint Yasunori OHTSUKA, registered patent attorney, as our attorney and hereby grant the above named attorney the power:

1. To act for us in all matters relating to the international application,

under the Patent Cooperation Treaty entitled:

METHOD OF PROCESSING METAL MEMBERS

- 2. To withdraw the above identified international application or the designated State; and
- To act in all matters relating to a demand for the international preliminary examination with respect to the above identified international application and to withdraw the demand or the election of any elected State.

name:	GENDOH Toshiyuki
Address:	c/o MAZDA MOTOR CORPORATION, 3-1, Shinchi, Fuchu-cho, Aki-gun Hiroshima 735-0028, Japan
Signature:	Toskiyuki Gendoh April 10, 2001
Date:	april 10, 2001
Name: Address:	NOMURA Seiji c/o MAZDA MOTOR CORPORATION, 3-1, Shinchi, Fuchu-cho, Aki-gun, Hiroshima 735-0028, Japan
Signature:	Sety Nomula
Date:	april 10, 2001

# POWER OF ATTORNEY

We, the undersigned, hereby appoint Yasunori OHTSUKA, registered patent attorney, as our attorney and hereby grant the above named attorney the power:

1. To act for us in all matters relating to the international application,

under the Patent Cooperation Treaty entitled:

Name:

METHOD OF PROCESSING METAL MEMBERS

- 2. To withdraw the above identified international application or the designated State; and
- 3. To act in all matters relating to a demand for the international preliminary examination with respect to the above identified international application and to withdraw the demand or the election of any elected State.

MAZDA MOTOR CORPORATION FIELDS, Mark President Address: 3-1, Shinchi, Fuchu-cho, Aki-gun, Hiroshima 735-0028, Japan Signature: Date:







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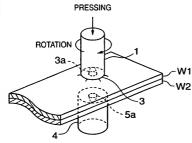
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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHOD OF PROCESSING METAL MEMBERS



(57) Abstract: First and second metal members (W1, W2) are joined by lapping at least two metal members one over the other; pressing a rotating tool (1) against the outmost surface of the lapped metal members, that is, the first metal member (W1); and stirring the metal structure between the first and second metal members (W1, W2) by the use of frictional heat generated by the rotating motion of the rotating tool (1) while keeping the metal members in a non-molten state.

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#### DESCRIPTION

### METHOD OF PROCESSING METAL MEMBERS

#### 5 Technical Field

The present invention relates to a method of processing metal members such as aluminum alloy castings and plate materials.

#### 10 Background Art

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In the current joining techniques, metal members such as plate materials or those having been press-formed into three-dimensional shapes are lapped one over the other and joined together by the use of resistance welding or arc welding, joining materials, bolt fastening, rivets, etc.

And if metal members are of complicated threedimensional shape, the spot welding process is used in which a plurality of portions to be welded spotted in the metal members can be welded locally.

20 And as another joining technique, there is disclosed in Japanese Patent No. 2712838 a joining process in which metal members are stirred by the use of friction while being kept in a non-molten state. This joining technique is to join two metal members in the following steps of: inserting 25 and translating a projected portion referred to as probe into the surface of the metal members subjected to welding, where two metal members are butted together, while rotating

the probe; and plasticizing the metal structure in the vicinity of the above surface by the use of frictional heat generated by the rotating motion of the above probe.

In the joining technique described in the above patent, however, since the probe is inserted into and moved through 5 the surface of the metal members, there remain traces of welding (holes) at the starting- and end-points of the probe's movement locus. This causes problems involving: the appearance of the metal members, that is, the metal members being unable to be used for the parts where the 10 traces of welding are visible; the need to form an excess metal portion beforehand and locate the probe's statingand end-points in such a portion so as to remove the traces of welding in the secondary processing; and the decrease 15 in fatigue strength of the metal members if some traces of welding should remain.

#### Disclosure of Invention

The present invention has been made in light of the 20 above problems; accordingly, the object of the present invention is to provide a method of processing metal members which enables the construction of a strong member to member junction without causing thermal distortion and a trace of welding.

25 In order to overcome the above problems and achieve the above object, the method of processing metal members according to the present invention is to join first and second metal members by lapping at least two metal members one over the other; pressing a planar tip of a rotor against the above first metal member; rotating the above rotor and stirring the portion of the above first metal member

subjected to joining by the use of friction caused by the rotating motion of said rotor while keeping the same in a non-molten state, so as to form a non-molten stirred layer while expanding the non-molten stirred layer to said second metal member.

According to this construction, metal members can be strongly joined without causing thermal distortion and a trace of welding.

Preferably a concave portion is formed in the tip of the above rotor. According to this construction, the stirring characteristics to the first and second metal members can be improved.

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Preferably concave and convex portions differing in height in the circumferential direction are formed in the tip of the above rotor. According to this construction, metal members can be strongly joined without causing thermal distortion and a trace of welding.

Preferably a receiving member is provided in such a manner as to face the tip portion of the above rotor via the first and second metal members and a concave portion is formed in the tip portion of the above receiving member. According to this construction, the joining duration can be shortened. In addition, joining can be satisfactorily

performed even if the total thickness of the metal members or the number of lapped metal members are large.

Preferably another rotor is provided in such a manner as to face the tip portion of the above rotor via the first and second metal members, the two rotors being rotated in the opposite direction with the first and second metal members interposed between them.

According to this construction, the joining duration can be shortened, in addition, joining can be

10 satisfactorily performed even if the total thickness of the metal members or the number of lapped metal members are large.

Preferably the first and second metal members are continuously joined while moving the above rotor. This enables a strong metal member to metal member junction without causing thermal distortion and a trace of welding.

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Preferably the tip portion of the above rotor is pressed from the side of one metal member of which thickness is smaller the other one. This enables the acceleration of the expansion of the non-molten stirred layer from the first metal member to the second metal member.

Preferably the above first and second metal members are joined in the following steps of: allowing an alloy material, which can mutually diffuse with the above first and second metal members, to intervene between the above first and second metal members at the portion subjected to joining; pressing and rotating the above rotor against the

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- 5 -

portion of the above first and second metal members subjected to joining, and stirring the same portion by the use of friction caused by the rotating motion of the above rotor while keeping the same in a non-molten state, so as to form a non-molten stirred layer while expanding the non-molten stirred layer to said second metal member.

According to this construction, due to the use of an alloy material, a high joining strength can be obtained, in addition, different kinds of metal members can also be joined.

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Preferably the above first and second metal members are joined while removing burrs produced on the above first metal member in the vicinity of the above rotor due to the rotating and pressing motion of said rotor.

This enables the simplification of deburring processing after the completion of joining metal members.

A method of processing a metal member of the present invention is to reform the surface of the metal member in the following steps of: pressing a planar tip of a rotor against the above metal member; rotating the above rotor and stirring the above metal member by the use of friction caused by the rotating motion of said rotor while keeping the same in a non-molten state.

This enables refinement of the metal structure and
decrease in casting defects, thereby the material
characteristics such as thermal fatigue (low cycle fatigue)
life, elongation and impact resistance can be improved.

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Brief Description of Drawings

- FIG. 1 is an enlarged view of a rotating tool and vicinity illustrating a lap joining method of an embodiment according to the present invention;
- FIGS. 2A, 2B, 3A, 3B, 4A and 4B are views illustrating the shapes of the tip portions 3 of various possible types rotating tools 1, FIGS. 2A, 3A and 4A being the side views of the rotating tools, FIGS. 2B, 3B and 4B being the front views of the tip portions;
  - FIG. 5 is a schematic view of an articulated type robot which holds and drives a rotating tool;
  - FIG. 6 is a view illustrating a method of joining metal members;
- 15 FIG. 7 is a view illustrating a method of joining three or more metal members;
  - FIGS. 8A, 8B, 8C are views showing the plastic flow state within metal members when the tip portion of a rotating tool is flat;
- FIGS. 9A, 9B and 9C are views showing the plastic flow state within metal members when a concave portion is formed in the tip portion of a rotating tool;
  - FIG. 10 is a view showing the plastic flow state within metal members when projections or grooves are formed in the tip portion of a rotating tool:

- FIG. 11 is a schematic representation illustrating the method of testing the strength of the non-fusing frictionally-stirring joining of this embodiment;
- FIG. 12 is a graph showing the results of the joining strength test conducted in accordance with the method shown in FIG. 11;
  - FIG. 13 is a view showing the case where body frames of an automobile are joined as metal members having been press-formed into three-dimensional shapes beforehand;
- FIG. 14 is an enlarged view of a rotating tool and vicinity illustrating the case where joining is continuously performed while allowing the rotating tool to advance;
- FIG. 15 is a view illustrating a method of joining metal
  15 members in which joining is continuously performed while
  allowing a rotating tool to advance;
  - FIG. 16 is a view of a rotating tool, as a variation of the rotating tool according to the embodiment of the present invention, with radially extended portions formed on its periphery;
  - FIG. 17 is a cross-sectional view of the metal members joined in accordance with the embodiment of the present invention, showing the metal structure of the joined portion;
- 25 FIG. 18 is a view showing a state of metal members at the time of button rupture at a joining strength test;

FIG. 19 is a view showing a state of metal members at the time of separation rupture at a joining strength test;

FIG. 20 is a cross-sectional photographical view of the metal members joined in accordance with the embodiment of the present invention, showing the metal structure of the joined portion, which corresponds to FIG. 17;

FIG. 21 is an enlarged photographical view of a portion I of FIG. 20;  $\label{eq:prop} \mbox{ I of FIG. 20; }$ 

FIG. 22 is a cross-sectional photographical view of the metal members, showing the metal structure of a portion II of FIG. 21;

FIG. 23 is an enlarged photographical view of FIG. 22;
FIGS. 24A, 24B and 24C are views illustrating a method
of joining first and second metal members with an alloy
15 material intervened between them:

FIGS. 25A, 25B and 25C are views illustrating a state in which an alloy material is diffusing at a portion P, where first and second metal members are subjected to joining;

FIGS. 26 to 29 are graphs showing the examples of 20 controlling the number of revolutions and pressing force of a rotating tool in joining metal members;

FIGS. 30A, 30B, 30C and 30D are views showing the state in which a Zn-5Al layer and an aluminum alloy plate diffuse mutually to form a diffusion layer consisting of Al, Al-Zn, Zn-Al, Fe-Zn and Fe and subsequently to form an Al-Zn-Fe alloy layer, thereby the metal members are joined together;

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- FIG. 31 is a view of a tip portion of a rotating tool
  provided with cutting tips;
- FIG. 32 is a view of a tip portion of a rotating tool provided with a burr suppressing bump;
- 5 FIG. 33 is a view illustrating the position on a rotating toll in which cutting tips or a burr suppressing bump is placed;
  - FIGS. 34A, 34B and 34C are views illustrating a method of deburring when a rotating toll is provided with cutting tips;
  - FIGS. 35A, 35B and 35C are views illustrating a method of deburring when a rotating toll is provided with a burr suppressing bump;
- FIGS. 36A, 36B, 36C and 36D are views showing the case

  where cutting tips or a burr suppressing bump is provided
  in such a manner as to move up and down relative to the
  rotating tool and illustrating a method of deburring;
  - FIG. 37 is a table showing the percentage of the components contained in an aluminum alloy casting used for surface treatment; and
    - FIG. 38 is a view illustrating one example of the applications of the embodiment of the present invention to surface treatment, that is, illustrating a method of performing surface reforming treatment on the portion between the adjacent ports (the portion between valves) formed on a cylinder head of an automobile.

Best Mode for Carrying Out the Invention

In the following an embodiment of the present invention will be described in detail with reference to the accompanying drawings.

Referring to FIG. 1, there is shown an enlarged view of a rotating tool and vicinity illustrating a lap joining method of an embodiment according to the present invention.

The joining method of this embodiment applies to the joining of metal members such as aluminum alloy plate

10 materials and those having been press-formed into three-dimensional shapes and is to join first and second metal members W1, W2 in the following steps of: lapping at least two metal members one over the other; pressing a rotating tool 1 against the outermost surface of the lapped

15 members, that is, the first metal member W1; and stirring the metal structure between the first and second metal members W1 and W2 by the use of the frictional heat generated by the rotating motion of the rotating tool 1 while keeping the same in a non-molten state.

20 With this method, problems such as thermal distortion caused by, for example, the electric resistance welding can be gotten rid of because the metal structure is stirred while being kept in a non-molten state.

The terms "to stir the metal structure while keeping
the same in a non-molten state" herein used means that the
metal structure is softened by the frictional heat
generated by the rotor's rotational motion under

temperatures lower than the lowest melting point of the components or eutectic contained in the metal material and stirred.

As shown in FIG. 1, the joining method involving stirring by the use of friction is to join first and second 5 metal members W1, W2 in the following steps of: lapping at least two metal members W1, W2 one over the other; pressing a planar tip 3 of a cylindrical rotating tool 1 against the outermost surface of the lapped metal members, that is, the first metal member W1 while rotating the same around its 10 axis; and stirring the portion of the above first and second metal members W1, W2 to be joined by the use of friction caused by the rotating motion of said rotor while keeping the same in a non-molten state, so as to form a non-molten 15 stirred layer while expanding the non-molten stirred layer to said second metal member W2.

And a receiving member 4 is provided in such a manner as to face the tip 3 of the rotating tool 1 across the first and second metal members W1, W2. The receiving member 4 is designed to have an outside diameter larger than that of the rotating tool 1.

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The diameter  $\phi 1$  of the rotating tool 1 is about 10 to 15 mm. Although both the rotating tool 1 and the receiving member 4 are non-wearing type tools formed of steel (super hard alloys etc.) with hardness higher that that of the metal members, the material of the metal members is not

intended to be limited to aluminum alloys as long as it is softer than that of the rotating tool 1.

As is also shown in FIG. 6, a concave portion 3a is formed almost in the center of the tip portion 3 of the rotating tool 1. And a concave portion 5a is formed almost in the center of the tip portion 5 of the receiving member 4.

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The respective concave portions 3a and 5a can be provided in either the rotating tool 1 or the receiving member 4, or in both of them.

FIGS. 2A, 2B, 3A, 3B, 4A and 4B are views illustrating different shapes of the tip portions 3 of various types rotating tools 1, FIGS. 2A, 3A and 4A being side views of the rotating tools, FIGS. 2B, 3B and 3C being front views of the tip portions.

In the rotating tool 1 shown in FIGS. 2A and 2B, the tip portion 3 is formed in such a manner as to have a slope relative to the contact surface on which it comes in contact with the metal member and configured so that the height from the contact surface can vary. And in the rotating tool 1 shown in FIGS. 3A and 3B, the planar tip portion 3 is provided with a plurality of projections (or grooves) 3b radiating from its center to the periphery. In the rotating tool 1 shown in FIGS. 4A and 4B, the planar tip portion 3 is provided with at least one groove (or projection) 3c running from its center to the periphery so that the height of the tip portion varies in the circumferential direction.

The rotating tool 1 has only to have an unevenness or a wavy finish in the circumferential direction of the tip portion, and it can be formed by combining the concave portion 3a shown in FIG. 1 with any one of the shapes of the tip portions 3 shown in FIGS. 2A, 2B, 3A, 3B, 4A and 4B. Or the rotating tool 1 having any one of the shapes shown in FIG. 3A, 3B, 4A and 4B can be formed by combining projections with grooves. Too high projections and too deep grooves are not suitable since the stirring

10 characteristics of the rotating tool 1 to the metal members deteriorate.

The rotating tool 1 is attached to the arm of an articulated type robot 10 described later in a rotatable manner and is formed in such a manner that, when the metal members to be joined have complicated three-dimensional shapes, it can join them locally at a plurality of portions spotted in the metal members to be welded.

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FIG. 5 is a schematic view of an articulated type robot which holds and drives a rotating tool.

As shown in FIG. 5, the articulated type robot 10 is connected to a joint 12 provided in the base 11 and swings around the y-axis, and it includes a first arm 14 rotating around the z-axis at a joint 13, a second arm 17 connected to the first arm 14 via a joint 15 and swinging around the y-axis while rotating around the x-axis at a joint 16, and a third arm 19 connected to the second arm 17 via a joint 18 and swinging around the y-axis.

The third arm 19 is to have a rotating tool 1 attached thereto in a rotatable manner and includes a motor 20 for rotatablly driving the rotating tool 1 and a receiving member 4 arranged in such a manner as to face the tip portion 3 of the rotating tool 1. The spacing between the tip portion 3 of the rotating tool 1 and the tip portion of the receiving member 4 is variable with an actuator 22 and is designed so that it can deal with the pressing force exerted on metal members during the joining operation and with three or more metal members lapped one over the other.

The operation of the arms, motor and actuator of the articulated type robot 10 is taught the robot beforehand and controlled by a control portion 30.

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The pressing force to be exerted by the rotating tool

15 1 on the metal members is set for each joining portion based
on the total plate thickness and lapping number of the metal
members, and this applies to the case where plate thickness
differs from member to member.

When joining three or more metal members, for example,
a first metal member W1 to a third metal member W3, the
joining is performed using a pair of rotating tools 1A, 1B
the same in outside diameter in such a manner as to interpose
the metal members between them, as shown in FIG. 7. In this
case, the rotating tool 1B, instead of the receiving member
4 shown in FIG. 5, is attached to the articulated type robot
10 in a rotatable manner, and the rotating tools 1A, 1B are
rotated in the opposite direction to each other with the

first metal member W1 to the third metal member W3  $\,$ interposed between their tip portions 3A, 3B which are facing each other.

Even if the first and second metal members W1, W2 are different in thickness, they can be joined; and stirring becomes easier particularly when pressing the rotating tool 1 from the side of the metal member of smaller thickness, thereby uniform joining processing can be realized.

[Plastic Flow of Metal Structure during Joining]

10 FIGS. 8A, 8B and 8C are views showing the plastic flow state within metal members when the tip portion of a rotating tool is flat. FIGS. 9A, 9B and 9C are views showing the plastic flow state within metal members when a concave portion is formed in the tip portion of a rotating tool.

15 As shown in FIGS. 8A, 8B and 8C, in cases where a rotating tool 1 is used of which tip portion 3 is flat (for convenience in description, the tip portion 5 of a receiving member 4 shall be flat), when continuing to press the rotating tool 1 rotating at given rpm against a first metal member W1 in the direction almost perpendicular to the same, friction is caused between the rotating tool 1 and the first metal member W1, thereby the surface of the first metal member W1 is softened, and the metal structure between the first and second metal members W1, W2 gets stirred in such a direction that the rotating tool 1 rotates while being kept in a non-molten state. And increasing the pressing force of the rotating tool 1 against the first metal member

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W1 expands the non-molten frictionally-stirred layer to the metal member W2, which is out of contact with the rotating tool 1, and finally the first and second metal members W1, W2 lapped one over the other are joined together while being kept in a non-molten state.

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As shown in FIGS. 9A, 9B and 9C, in cases where a rotating tool 1 with a concave portion 3a formed in its tip portion 3 is used (for convenience in description, the tip portion 5 of a receiving member 4 shall be flat), when continuing to press the rotating tool 1 rotating at given 10 rpm against a first metal member W1 in the direction almost perpendicular to the same, friction is caused between the rotating tool 1 and the first metal member W1, thereby the surface of the first metal member W1 is softened, and the metal structure between the first and second metal members 15 W1, W2 gets stirred in such a direction that the rotating tool 1 rotates while being kept in a non-molten state. And increasing the pressing force of the rotating tool 1 against the first metal member W1 starts to expand the non-molten 20 frictionally-stirred layer to the second metal member W2, which is out of contact with the rotating tool 1. At this time, the metal structure gets stirred in such a direction that the tool 1 rotates and at the same time gets stirred in the direction of its thickness (in the direction 25 perpendicular to the surface of the metal members to be joined) within the concave portion 3a, and finally the first

and second metal members W1, W2 lapped one over the other are joined together while being kept in a non-molten state.

Providing a concave portion 3a in the rotating tool 1 promotes the plastic flow within the concave portion, where the circumferential speed of the metal structure stirred is almost zero, and providing a concave portion 5a in the receiving member 4 promotes the plastic flow of the metal member out of contact with the rotating tool 1.

As shown in FIG. 10, in cases where a rotating tool 1 with projections (or grooves) 3b formed in its tip portion 10 3 is used (for convenience in description, the tip portion 5 of a receiving member 4 shall be flat), the metal structure is stirred in such a direction that the tool 1 rotates due to the radial unevenness formed in the tip portion 3, and 15 at the same time, the interface between first and second metal members W1, W2 is subjected to plastic flow periodically changing its direction up and down (the direction perpendicular to the metal members' surface to be joined) according to the rotation of the tool 1. This 20 periodical up-and-down plastic flow promotes the diffusion of the interface between the two metal members, and finally the first and second metal members W1, W2 lapped one over the other are joined together while being kept in a non-molten state.

As described above, in cases where the tip portion 3 of the rotating tool 1 is provided with a concave portion 3a, the entire metal structure to be joined is fully

stirred; therefore, the joining strength of the metal members is increased. On the other hand, in cases where the tip portion 3 of the rotating tool 1 is not provided with a concave portion 3a and is flat, the metal structure is not fully stirred in the direction perpendicular to the surface of the metal members to be joined; therefore, the joining strength becomes low.

In cases where an radial unevenness is formed in the rotating tool 1, the state in which the tip portion of the rotating tool 1 is in contact with the metal structure is 10 different from that of the cases where a concave portion 3a is formed in the same, and the angular speed of the metal structure subjected to stirring at the central portion can be set smaller than that of the circumferential portion; thus, the rotating tool 1 with a radial unevenness formed 15 in its tip portion has the advantages over that with a concave portion 3a formed in its tip portion that it has excellent stirring characteristics and easily causes three-dimensional plastic flow, that is, plastic flow in such a direction that it rotates as well as up and down in 20 a wider range of its tip portion.

[Test Results]

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Although the joining processing of this embodiment used steel plates JIS 6000 (Al-Mg-Si steel plates)

25 standardized in accordance with JIS as metal members, steel plates JIS 5000 (Al-Mg steel plates) and some other metal materials are also applicable.

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FIG. 11 is a schematic representation illustrating the method of testing the strength of the non-fusing frictionally-stirring joining of this embodiment. FIG. 12 is a graph showing the results of the joining strength test conducted in accordance with the method shown in FIG. 11.

In the joining strength testing method shown in FIG. 11, the joining strength is indicated with the tensile force by which the joined surface is separated when pulling the first and second metal members W1, W2 in the opposite direction to each other.

The joining conditions were such that the revolution number of the rotating tool 1 was 2000 rpm, the tip portion 3 of the rotating tool 1 was 10 mm in diameter  $\phi$ , the pressing duration meant the duration after pressing the rotating tool 1 against the metal members the depth of 0.2 mm, and the metal members used were JIS 6000 with thickness 1 mm.

As shown in FIG. 12, when using a rotating tool 1 with a concave portion 3a formed in it tip portion 3, the joining strength became higher than when using a rotating tool 1 with a flat tip portion 3 and the strength requirement was satisfied.

Further, in cases where a tool with a flat tip portion 3 was used, when trying to break the joined metal members, first a separation rupture occurred at the joined surface of the metal members, causing the metal members to separate from each other there, as shown in FIG. 19. On the other hand, in cases where a tool with a concave portion 3a formed

in its tip portion 3 was used, when trying to break the joined metal members, the metal members did not separate from each other at the joined surface, but first a button rupture occurred in which the portion Wa corresponding to the periphery of the rotating tool 1 ruptured, as shown in FIGS. 17 and 18. Thus, it is apparent that higher joining strength can be obtained when using a tool with a concave portion 3a formed in its tip portion 3.

Further, as shown in FIGS. 20 to 23, in cases where joining was performed using a tool with a concave portion 3a formed in its tip portion 3, since the interface between the metal members to be joined was fully stirred so as to be uniform, higher joining strength was obtained.

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The longer the pressing duration of the rotating tool

15 1 against the metal members becomes, the higher the joining
strength becomes; however, when the pressing duration is
about 10 seconds or longer, almost the same joining strength
can be obtained both in the use of the rotating tool 1 with
a concave portion 3a formed in its tip portion 3 and in the

20 use of the rotating tool 1 with a flat tip portion 3.

[Joining With Alloy Material Intervention]

First and second metal members can be joined with an alloy material intervened between them.

FIGS. 24A, 24B and 24C are views illustrating a method
25 of joining first and second metal members with an alloy
material intervened between them. FIGS. 25A, 25B and 25C
are views illustrating a state in which the alloy material

is diffusing at a portion P, where the first and second metal members are subjected to joining.

As shown in FIGS. 24A, 24B, 24C, 25A, 25B and 25C, for example, the first metal member W1 is an aluminum alloy plate and the second metal member W2 is a Fe steel plate with a Zn-5Al or Zn hot-dipping layer Wc, as an alloy material, formed thereon via a Zn-Fe-Al or Zn-Fe alloy layer Wd. The Zn-5Al layer consists of a eutectic composition of about 95 % by weight Zn component and about 5 % by weight Al component. Preferably the Zn-5Al layer consisting of an aluminum alloy and Zn-5Al alloy material coated thereon is optimal. The Zn hot-dipping layer is commercially available in the form of a rust-preventive coating provided over a metal member.

15 When lapping the first and second metal members W1, W2 one over the other via the Zn-5Al or Zn hot-dipping layer Wc, as an alloy material, and pressing a rotating tool 1 against the surface portion of the first metal member W1 corresponding to the portion P joined to the second metal member W2, the aluminum alloy is stirred by friction caused 20 by the rotation of the rotating tool 1 and starts plastic flow. When promoting the plastic flow, the oxide film on the surface of the aluminum alloy is broken and the Zn-5Al or Zn hot-dipping layer Wc and the aluminum alloy start 25 to diffuse mutually to form a diffusion layer consisting of Al, Al-Zn, Zn-Al, Fe-Zn and Fe. And when further promoting the plastic flow, the diffusion layer becomes an

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Al-Zn-Fe alloy layer We, and the aluminum alloy plate W1 and the steel plate W2 are joined together via the Al-Zn-Fe alloy layer We.

When joining a steel plate without Zn-5Al or Zn hot-dipping layer Wc and an aluminum alloy plate together, an alloy material such as a Zn-5Al layer or Zn alloy foil may be allowed to intervene specially between the two members just at the portion P to be joined. Further, as the alloy material, not only Zn-Al layer but also Mg-Al layer may be formed on the second metal member W2.

As a rotating tool 1, not only one with a flat tip portion but also ones with tip portions of various configurations can be used. A rotating tool with a projection 2, which is referred to as probe, provided on its tip portion may also be used.

The rotating tool 1 is pressed against any one of the first and second metal members W1, W2 which has a lower melting point than the other one, so as to stir the metal structure of the members by the use of friction caused by the rotating tool's rotation.

Pressing the rotating tool from the side of the aluminum alloy member, which is softened by little heating compared with the steel plate member having a higher melting point and a higher strength at elevated temperature than the aluminum alloy, allows the metal members to be joined in a short period of time, thereby reduces the thermal and

mechanical loads applied to the tool, and therefore, has the advantage that it can increase the tool life.

As shown in FIGS. 26 to 29, the number of revolutions of the rotating tool 1 against the metal members may be kept 5 constant at about 1000 rpm (FIGS. 26, 27) or may be changed periodically so as to promote the breaking of the oxide film on the aluminum alloy member (FIGS. 28, 29). Decreasing the number of revolutions causes joining to take a longer time, therefore, is not preferable.

The pressing force of the rotating tool 1 against the metal members is kept constant (FIGS. 26, 28) or is gradually increased (FIGS. 27, 29). Decreasing the pressing force causes an unsatisfactory plastic flow, thereby makes it impossible to obtain satisfactory joining strength.

As for the relationship between the number of revolutions and the pressing force, the pressing force must be increased as the metal structure is softened.

[Diffusion Joining of Alloy Material]

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20 FIGS. 30A, 30B, 30C and 30D are views showing the state in which a Zn-5Al layer and an aluminum alloy plate diffuse mutually to form a diffusion layer consisting of Al, Al-Zn, Zn-Al, Fe-Zn and Fe, then plastic flow is further promoted to form an Al-Zn-Fe alloy layer We, and finally the aluminum alloy plate W1 and a steel plate W2 is joined together via the Al-Zn-Fe alloy layer We.

When lapping the aluminum alloy plate and the Fe steel plate one over the other with a Zn-5Al layer intervening between them, as shown in FIG. 30A, and stirring the metal structure of the lapped plates by the use of friction caused by the rotating tool 1 while keeping the same in a non-molten state, a diffusion layer consisting of Al and Zn-5Al layers is formed at the bottom of the aluminum alloy plate and a diffusion layer consisting of Fe and Zn-5Al layers is formed on the top of the Fe steel plate, as shown in FIG. 30B.

When allowing the plastic flow to progress by further stirring the metal structure, Zn component of the Zn-5Al layer is further diffused in the aluminum alloy plate and Fe steel plate, and this diffusion reaction gradually decreases the ratio of Zn component (increases the ratio of Al component) in the Zn-5Al layer, as shown in FIG. 30C.

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When allowing the plastic flow in the state shown in FIG. 30C to further progress, a diffusion reaction occurs between the diffusion layers on the aluminum alloy plate side and on the Fe steel plate side; as a result, an Al-Zn-Fe alloy layer is formed as shown in FIG. 30D.

As described above, the first and second metal members W1, W2 are joined together via an Al-Zn-Fe three-component system alloy layer. This can prevent a brittle

25 intermetallic compound, that is, Fe-Al, from forming on the junction surface of the first metal member W1 and second metal member W2; thus, the Al-Zn-Fe three-component system alloy layer allows a very high joining strength.

[Shape of Metal Members]

The embodiment of the present invention is suitable

for joining metal members having been press-formed into
three-dimensional shapes beforehand. Specifically, in
cases where the metal members have been press-formed into
complicated three-dimensional shapes and a plurality of
portions P to be joined are so spotted that a rotating tool

10 1 cannot be moved continuously, like the case where a body
frame W1 of an automobile and its reinforcing member W2 are
joined, as shown in FIG. 13, if the joining method according
to this embodiment is used, such metal members as are
press-formed into complicated shapes can be locally welded

15 and joined together.

[Deburring Structure]

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FIG. 31 is a view of a tip portion of a rotating tool provided with cutting tips. FIG. 32 is a view of a tip portion of a rotating tool provided with a burr suppressing bump.

In order to remove burs Wb (refer to FIG. 17) produced on metal members during the joining operation, cutting tips 1b, which are radially extended portions, or a burr suppressing bump 1c may be integrally or separately formed on the periphery surface near the tip portion of a rotating toll 1 as shown in FIGS. 31 and 32.

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The cutting tips 1b are flat and in parallel with the tip portion 3, and the periphery surface near the tip portion of the rotating tool 1 is provided with four cutting tips at 90° intervals. The cutting tips 1b are not necessarily flat, but they may be formed into spiral cutting blades for example. And the number of the tips can be set arbitrarily according to the components of the metal members and to the depth to which the rotating tool 1 is pressed.

The burr suppressing bump 1c is flat and in parallel with the tip portion 3 and is formed on the entire periphery surface near the tip portion 3 of the rotating tool 1.

FIGS. 34A, 34B and 34C are views illustrating a method of deburring when a rotating toll is provided with cutting tips. FIGS. 35A, 35B and 35C are views illustrating a method of deburring when a rotating toll is provided with a burr suppressing bump.

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In cases where cutting tips 1b are used in removing burrs Wb, the burr Wb produced on a metal member W1 in the vicinity of a rotating tool 1 is cut away by rotating and pressing the rotating tool 1 against the metal member W1, as shown in FIGS. 34A, 34B and 34C.

In cases where a burr suppressing bump 1c is used in removing burrs Wb, the burr Wb produced on a metal member W1 in the vicinity of a rotating tool 1 is crushed by rotating and pressing the rotating tool 1 against the metal member W1, as shown in FIGS. 35A, 35B and 35C.

The cutting tips 1b or burr suppressing bump 1c is formed on the rotating tool 1 in such a position that it is axially away from the tip portion 3 by t, which is the depth to which the tip portion of the rotating tool 1 is pressed, as shown in FIG. 33.

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The use of the cutting tips 1b allows complete deburring; however, it also allows chips Wb to be produced, in addition, makes the rotating tool 1 costly because hard cutting tips 1b must be used. On the other hand, the use of the burr suppressing bump 1c makes the junction surface a little inferior in appearance because the crushed burr Wb remains the junction surface; however, it has the advantages that the rotating tool 1 is not costly and chips are not produced.

The cutting tips 1b or burr suppressing bump 1c is not necessarily fixed on the rotating tool 1, it may be formed in such a manner as to move up and down coaxially relative to the axis of rotation of the rotating tool 1.

FIGS. 36A, 36B, 36C and 36D are views showing the case
where cutting tips 1b or a burr suppressing bump 1c is
provided in such a manner as to move up and down relative
to the rotating tool and illustrating a method of deburring
in such a case.

As shown in FIGS. 36A, 36B, 36C and 36D, the cutting
tips 1b or burr suppressing bump 1c is provided on the tip
portion of a hollow shaft 41 which can move up and down (or
is rotatable around) the periphery surface of the rotating

tool 1 coaxially relative to the axis of rotation of the same.

In cases where this up-and-down type cutting tip 1b or burr suppressing bump 1c is used in removing burrs Wb, during the joining operation shown in FIGS. 36A and 36B, it is allowed to move up and be away from the portion to be joined, and after completion of the joining, it is allowed to move down, so as to remove the burr Wb by cutting or crushing the same, as shown in FIGS. 36C and 36D.

Allowing the cutting tips lb or burr suppressing bump lc to be movable requires complicated and expensive equipments compared with the case where the cutting tips lb or burr suppressing bump lc is fixed; however, it has the advantage that, when varying the pressing depth of the rotating tool according to the metal members, it can be dealt with by the same single tool.

[Continuous Joining]

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In the above embodiment, one example of the spot joining has been described in which a rotating tool 1 is pressed against the portion of the metal members to be joined and not moved; however, joining may be performed continuously while allowing the rotating tool 1 to advance or swing, as shown in FIG. 14.

When allowing the rotating tool 1 to advance as shown
in FIG. 14, if the rotating tool 1 is allowed to move while
being tilted rearward at the angle of about 1° as shown in
FIG. 15, its stirring characteristics are improved compared

with the case where it is pressed against the metal members perpendicularly.

[Variation]

As a variation of the embodiment of the present invention, joining can be performed while cooling the portion of the metal members to be joined, in order to suppress the distortion of metal members. As a cooling method, joining may be performed in cooling water, or cooling water may be supplied to the joining portion.

10 Further, in order to remove burrs Wb (refer to FIG. 17) produced on the metal members during the joining operation, radially extended portions 1a may be formed on the side surface of a rotating tool 1 near its tip portion. The radially extended portions 1a are formed on the rotating tool 1 in such a position that it is axially away from the tip portion 3 by a certain distance, which is the depth to which the tip portion of the rotating tool 1 is pressed. The radially extended portions 1a may also be used for holding the metal members down.

20 [Surface Treatment]

The joining technique in accordance with the embodiment of the present invention applies to the surface treatment of metal members.

The surface treatment is applied to aluminum alloy
castings, and the technique is used in the surface reforming
treatment of, in particular, the portions between the
adjacent ports (portions between valves) formed on a

cylinder head, pistons and brake discs of automobiles. According to this technique, refinement of metal structure, uniform dispersion of eutectic silicon (Si) particles and decrease in casting defects can be realized by stirring the area of the aluminum alloy castings subjected to surface reforming treatment by the use of friction while keeping the same in a non-molten state, thereby the material characteristics, such as thermal fatigue (low cycle fatigue) life, elongation and impact resistance, more excellent than those obtained by the current remelting treatment can be obtained.

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In the surface treatment according to the embodiment of the present invention, AC4D, which is an aluminum alloy standardized in accordance with JIS, was used as an example, as shown in FIG. 37; however, the component ratio of aluminum alloy castings can be changed within the following ranges: Mg content 0.2 to 1.5 % by weight; silicon (Si) content 1 to 24 % by weight, preferably 4 to 13 % by weight. And AC4B, AC2B, and AC8A for use in pistons can also be used.

The reason that the upper limit of silicon content is set at 24 % is that, even if the content of silicon is increased to more than 24 %, the material characteristics and casting characteristics are saturated, moreover, the stirring characteristics deteriorate.

In aluminum alloy castings containing magnesium, their strength is increased when  $Mg_2Si$  is allowed to precipitate by heat treatment. However, in cases where the

metal structure of the aluminum alloy castings is refined by melting the same, like the case of the remelting treatment, magnesium, of which melting point is low (650°C), can sometimes evaporate and its content is decreased. The decrease in magnesium content lowers the hardness and strength of the aluminum alloy castings even if they are subjected to heat treatment, which makes it impossible to obtain desired material characteristics.

On the other hand, in the surface treatment adopting stirring by the use of friction, metal structure is never melted and magnesium component will not evaporate; thus, the strength of aluminum alloy castings can be increased when Mg<sub>2</sub>Si is allowed to precipitate by heat treatment.

Addition of silicon to aluminum alloys improves their castability (fluidity of molten metal, shrink characteristics and hot cracking resistance); however, eutectic silicon acts as a kind of defect, thereby their mechanical properties (elongation) deteriorate.

Eutectic silicon causes decrease in elongation, since

20 it is hard and brittle and acts as the origin and propagation
path of cracking. It also causes decrease in fatigue life
particularly at the portions between valves which are
subjected to thermal stress repeatedly. In metal
structure, such eutectic silicon ranges along a dendrite;

25 however, if the eutectic silicon is refined and uniformly
dispersed, occurrence of cracking due to the concentration
of stress and its propagation can be suppressed.

FIG. 38 is a view illustrating an example of the applications of the embodiment of the present invention to surface treatment, that is, illustrating a method of performing surface reforming treatment on the portion between the adjacent ports (the portion between valves) formed on a cylinder head of an automobile.

As shown in FIG. 38, the surface reforming treatment is performed in such a manner as to move a rotating tool 1 across the portion between the valves of the adjacent ports along the treatment locus F1-F3 while stirring the same portion by the use of friction caused by the rotating tool's motion.

While the present invention has been described in terms of it preferred embodiment, it should be understood that various changes and modifications can be made in it without departing the spirit and scope thereof.

The present invention applies to the joining of any materials other than steel plates for use in automobiles.

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#### CLAIMS

 A method of processing metal members, wherein first and second metal members are joined by

5 lapping at least two metal members one over the other; pressing a planar tip of a rotor against said first metal member;

rotating said rotor and stirring the portion of said first metal member to be joined by the use of friction caused

10 by the rotating motion of said rotor while keeping the same in a non-molten state, so as to form a non-molten stirred layer; and

expanding the non-molten stirred layer to said second metal member.  $% \begin{center} \begin{ce$ 

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- The method of processing metal members according to claim 1, wherein a concave portion is formed on the tip portion of said rotor.
- 20 3. The method of processing metal members according to claim 2, wherein concave and convex portions differing in height in the circumferential direction are formed on the tip portion of said rotor.
- 25 4. The method of processing metal members according to claim 1, wherein a receiving member is provided in such a manner as to face the tip portion of said rotor via the first

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and second metal members and a concave portion is formed in the tip portion of said receiving member.

5. The method of processing metal members according to claim 1, wherein another rotor is provided in such a manner as to face the tip portion of said rotor via the first and second metal members, said two rotors being rotated in the opposite direction with the first and second metal members interposed between them.

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- 6. The method of processing metal members according to claim 1, wherein the first and second metal members are continuously joined by moving said rotor.
- 15 7. The method of processing metal members according to claim 1, wherein the tip portion of said rotor is pressed from the side of one metal member of which thickness is smaller the other one.
- 20 8. The method of processing metal members according to claim 1, wherein said first and second metal members are joined by

allowing an alloy material, which can mutually diffuse with said first and second metal members, to intervene

25 between said first and second metal members at the portion to be joined;

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pressing and rotating said rotor against the portion of said first and second metal members to be joined, and stirring the same portion by the use of friction caused by the rotating motion of said rotor while keeping the same in a non-molten state, so as to form a non-molten stirred layer; and

xpanding the non-molten stirred layer to said second metal member.

10 9. The method of processing metal members according to claim 1, wherein said first and second metal members are joined while removing burrs produced on said first metal member in the vicinity of said rotor due to the rotating and pressing motion of said rotor.

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10. A method of processing a metal member, wherein the surface of said metal members is reformed by

pressing a planar tip of a rotor against said metal
member;

20 rotating said rotor and stirring said metal member by the use of friction caused by the rotating motion of said rotor while keeping the same in a non-molten state.

### AMENDED CLAIMS

## [received by the International Bureau on 24 August 2001 (24.08.01); original claims 1-10 replaced by new claims 1-9 (3 pages)]

- A method of processing metal members, wherein first and second metal members are joined by
- 5 lapping at least two metal members one over the other;

pressing a planar tip of a rotor against said first metal member;

rotating said rotor and stirring the portion of

10 said first metal member in such a direction that the
rotor rotates and a direction of a thickness of the
metal members to be joined by the use of friction caused
by the rotating motion of said rotor while keeping the
metal members in a non-molten state, so as to form a

15 non-molten stirred layer; and

expanding the non-molten stirred layer to said second metal member,

wherein a concave portion is formed on the tip portion of said rotor.

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 The method of processing metal members according to claim 1, wherein concave and convex portions differing in height in the circumferential direction are formed on the tip portion of said rotor.

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3. The method of processing metal members according to claim 1, wherein a receiving member is provided in such a manner as to face the tip portion of said rotor via the first and second metal members and a concave portion is formed in the tip portion of said receiving member.

4. The method of processing metal members according to 5 claim 1, wherein another rotor is provided in such a manner as to face the tip portion of said rotor via the first and second metal members, said two rotors being rotated in the opposite direction with the first and second metal members interposed between them.

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- 5. The method of processing metal members according to claim 1, wherein the first and second metal members are continuously joined by moving said rotor.
- 15 6. The method of processing metal members according to claim 1, wherein the tip portion of said rotor is pressed from the side of one metal member of which thickness is smaller the other one.
- 7. The method of processing metal members according to claim 1, wherein said first and second metal members are joined by

allowing an alloy material, which can mutually diffuse with said first and second metal members, to

25 intervene between said first and second metal members at the portion to be joined;

pressing and rotating said rotor against the portion of said first and second metal members to be

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joined, and stirring the same portion by the use of friction caused by the rotating motion of said rotor while keeping the same in a non-molten state, so as to form a non-molten stirred layer; and

expanding the non-molten stirred layer to said second metal member.

- 8. The method of processing metal members according to claim 1, wherein said first and second metal members are joined while removing burrs produced on said first metal member in the vicinity of said rotor due to the rotating and pressing motion of said rotor.
- A method of processing a metal member, wherein the
   surface of said metal members is reformed by

pressing a planar tip of a rotor against said metal member;

rotating said rotor and stirring said metal member in such a direction that the rotor rotates and a

20 direction of a thickness of the metal members by the use of friction caused by the rotating motion of said rotor while keeping the metal members in a non-molten state,

wherein a concave portion is formed on the tip portion of said rotor.

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## Statement Under Article 19(1)

GB 1 385 473 A shows a process for joining between metals by a frictional process by using a rotary tool, the rotary tool having a concave portion formed on the end portion of the rotary tool. However, it does not show that the metal members are stirred by friction of the rotor contacting with the metal members in such a direction that a rotor rotates and also stirred in a direction of a thickness of the metal members while keeping the metal members in a non-molten state.

US 4 144 110 A shows a process of adhesion between plastic sheets by a frictional process by using a rotary tool, the rotary tool having a concave portion formed on the end portion of the rotary tool. However, it does not show that the metal members are stirred by friction of the rotor contacting with the metal members in such a direction that a rotor rotates and also stirred in a direction of a thickness of the metal members while keeping the metal members in a non-molten state.

DE 197 46 812 A shows a process of joining between overlapped works by a frictional process by using a rotary tool, the rotary tool having a ball or hemisphere portion formed on the end portion. However, it does not show that the metal members are stirred by friction of a rotor contacting with the metal members in such a direction that a rotor rotates and also stirred in a direction of a thickness of the metal members while keeping the metal members in a non-molten state

DE 197 31 638 A shows a process of joining between overlapped works by a frictional process by using a rotary tool, the rotary tool having a planar portion formed on the end portion. However, it does not show that the metal members are stirred by friction of a rotor contacting with the metal members in such a direction that a rotor rotates and also stirred in a direction

of a thickness of the metal members while keeping the metal members in a

non-molten state

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EP 0 893 189 A shows a friction stir welding of two adjoining thickened parts by friction produced by an insertion of an rotary tool into a joining region, the rotary tool having a small-diameter tip end formed on the end portion. However, it does not show that overlapped metal members are stirred by friction of a rotor in such a direction that a rotor rotates and also stirred in a direction of a thickness of the metal members

The present invention achieves an advantage in that the metal members can be strongly joined without causing thermal distortion and a trace of welding by stirring the metal members by the friction of the rotor having the concave portion formed on the end portion in the direction that the rotor rotates and also in the direction of the thickness of the metal members while keeping the metal members in a non-molten state.

# FIG. 1

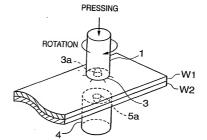


FIG. 2A

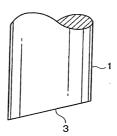


FIG. 2B



FIG. 3A

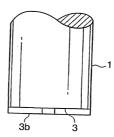
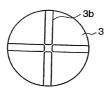


FIG. 3B



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FIG. 4A

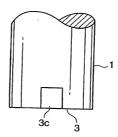
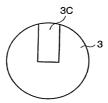


FIG. 4B



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FIG. 5

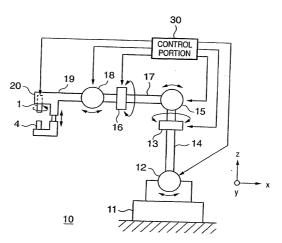


FIG. 6

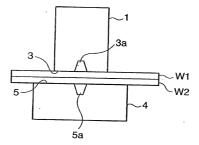
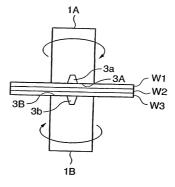
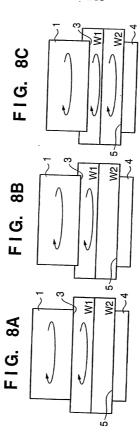


FIG. 7









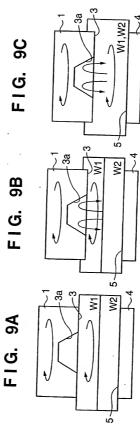


FIG. 10

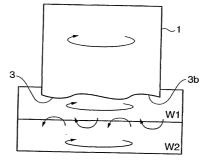
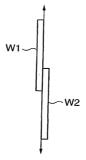


FIG. 11



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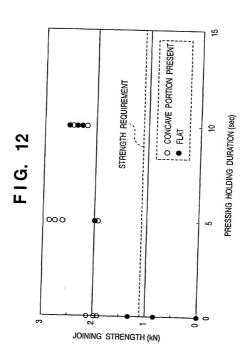


FIG. 13

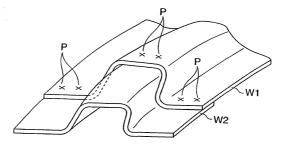


FIG. 14

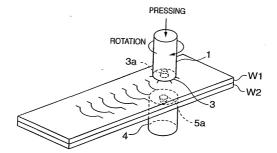


FIG. 15

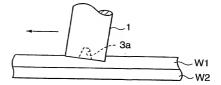
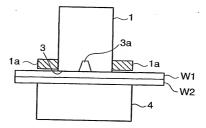
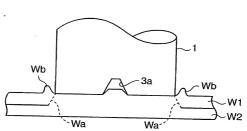


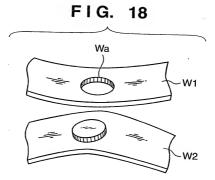
FIG. 16







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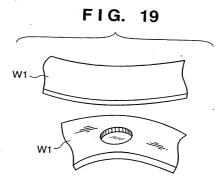


FIG. 20

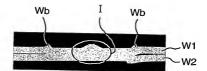


FIG. 21

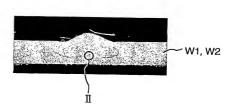


FIG. 22

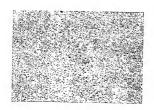
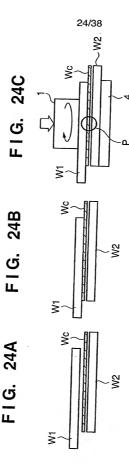


FIG. 23





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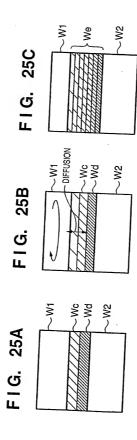


FIG. 26

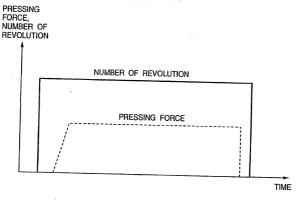
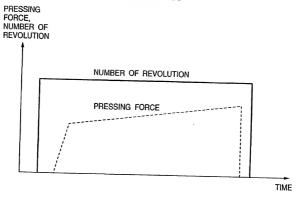
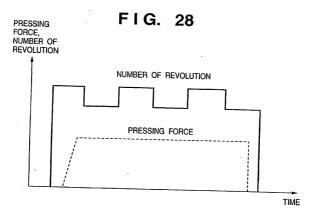
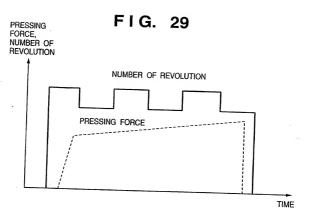


FIG. 27







Fe(W2)

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FIG. 31

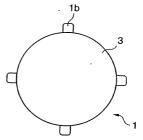
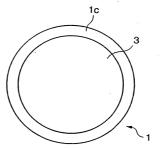
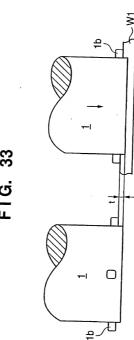


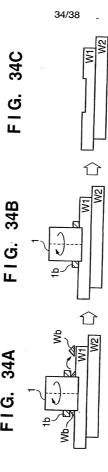
FIG. 32



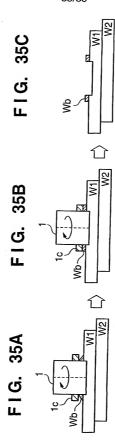
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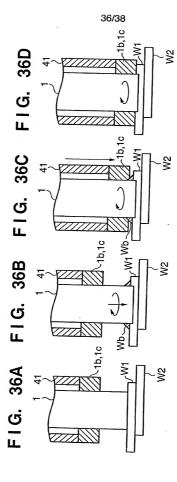
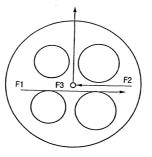


FIG. 37

CHEMICAL COMPONENT (% BY WEIGHT)	١٨	DECT
	F	202
	M	≥0.5
	æ	≥0.6
	Zu	≥0.3
	Mg	1.0~1.5 4.5~5.5 0.4~0.6
	ïS	4.5~5.5
	70	1.0~1.5
CODE	AC4D	

FIG. 38



anal Application No PCT/JP 01/03398

Relevant to daim No.

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 B23K20/12

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

nimum documentation searched (classification system followed by classification symbols) IPC 7 B23K

Category • Citation of document, with indication, where appropriate, of the relevant passages

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

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	ctual completion of the international search  June 2001	Date of mailing of the International search report 25/06/2001	
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